RTO NO. 45561 I CRICOS NO.: 03817A Brisbane Campus: Shop 9 3360 Pacific Hwy.

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Training and Assessment Policy

Purpose

The purpose of this policy and procedure is to outline the approach taken by EIC to deliver high quality training and assessment to its students.

This policy aligns closely to Standard 1 from the Standards for RTOs and ensures the strategies and practices used in relation to train and assessment are responsive to industry and student needs and meet the requirements of the qualifications and courses provided.

This policy also addresses Standards 6, and 8 from the National Code 2018.

Definitions

AQF means Australian Qualifications Framework which can be accessed at http://www.aqf.edu.au/ ASQA means Australian Skills Quality Authority which is the national VET regulator and the RTO's registering

Course means any nationally recognised qualification, unit of competency, skill set, or short course delivered by the RTO.

Dimensions of Competency refers to the types of skills a person must have to perform effectively in a broad capacity. The dimensions of competency ensure the person being assessed has the skills to perform competently in variety of different circumstances. To be competent, a person must demonstrate the following:

Task Skills The skills needed to perform a task at an acceptable level. They include knowledge and

practical skills, and these are usually described in the performance criteria.

Task These are skills in organising and coordinating, which are needed to be able to work

competently while managing several tasks or activities within a job. Management

Skills

Contingency The skills needed to respond and react appropriately to unexpected problems, changes

Skills in routine and breakdowns while also performing competently.

Job The skills needed to perform as expected in a job, position, location and with others. Role/Environm These skills may be described in the range of variables and underpinning skills and

ent Skills knowledge.

Transfer Skills The ability to transfer skills and knowledge to new situations and contexts.

National Code means National Code of Practice for Providers of Education and Training to Overseas Students 2018

Principles of Assessment means assessment decisions are based on the principles of fairness, flexibility, validity and reliability, which definitions of each of these as outlined below¹:

The individual learner's needs are considered in the assessment process. **Fairness**

Where appropriate, reasonable adjustments are applied by the RTO to take into

account the individual learner's needs.

The RTO informs the learner about the assessment process and provides the learner with the opportunity to challenge the result of the assessment and be reassessed if necessary.

Assessment is flexible to the individual learner by: Flexibility

- reflecting the learner's needs.
- assessing competencies held by the learner no matter how or where they have been acquired; and
- drawing from a range of assessment methods and using those that are appropriate

http://www.asqa.gov.au/verve/ resources/Users Guide to the Standards for Registered Training Organisations RTOs 2015.pdf

Definitions quoted from Australian Skills Quality Authority. User's Guide to the Standards for RTOs 2015. Accessed on December 8, 2014, from

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to the context, the unit of competency and associated assessment requirements, and the individual.

Validity

Any assessment decision of the RTO is justified, based on the evidence of performance of the individual learner. Validity requires:

- assessment against the unit(s) of competency and the associated assessment requirements covers the broad range of skills and knowledge that are essential to competent performance.
- assessment of knowledge and skills is integrated with their practical application.
- assessment to be based on evidence that demonstrates that a learner could demonstrate these skills and knowledge in other similar situations; and
- judgement of competence is based on evidence of learner performance that is aligned to the unit/s of competency and associated assessment requirements.

Reliability

Evidence presented for assessment is consistently interpreted and assessment results are comparable irrespective of the assessor conducting the assessment.

Reasonable adjustment means a modification made to the learning environment, training or assessment methods used to enable students with a disability to access and participate in training on the same basis as those without a disability. The adjustment must be 'reasonable' in that it must not impose unjustifiable hardship on the person or the RTO.

Recognition of Prior Learning or RPL means an assessment process that assesses the competency(s) of an individual that may have been acquired through formal, non-formal and informal learning to determine the extent to which that individual meets the requirements specified in the training package of VET accredited courses. For definitions of formal, non-formal and informal learning, refer to the definitions in ASQA's User's Guide for the Standards for Registered Training Organisations 2015².

RTO means Registered Training Organisation

Rules of Evidence means that the evidence on which an assessment decision is based is valid, sufficient, authentic and current, with definitions for each as outlined below: ³

Validity The assessor is assured that the learner has the skills, knowledge and attributes as

described in the module or unit of competency and associated assessment

requirements.

Sufficiency The assessor is assured that the quality, quantity and relevance of the assessment

evidence enables a judgment to be made of a learner's competency

Authenticity The assessor is assured that the evidence presented for assessment is the learner's

own work.

Currency The assessor is assured that the assessment evidence demonstrates current

competency. This requires the assessment evidence to be from the present or the very

recent past.

SRTOs means the Standards for RTOs 2015 – refer to definition of 'Standards'.

Standards means the Standards for Registered Training Organisations (RTOs) 2015 from the VET Quality Framework

Policy

1. Delivery of quality training

• EIC provides quality training to its students for all Courses. This means:

² Definitions quoted from Australian Skills Quality Authority (ASQA). *User's Guide to the Standards for RTOs 2015. Accessed on December 8, 2014, from*

http://www.asqa.gov.au/verve/_resources/Users_Guide_to_the_Standards_for_Registered_Training_Organisations_RTOs_2015.pdf

³ Definitions quoted from ASQA as above.

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- Providing an appropriate amount of training for each Course to ensure effective outcomes for students in line with industry expectations, Training Package or VET Accredited Course requirements, and AQF requirements.
- Providing suitable educational and support services sufficient to meet the numbers of students enrolled with the RTO.
- Providing training resources that are accessible to students regardless of their location or mode of delivery.
- Ensuring there are sufficient numbers of skilled trainers and assessors who are appropriately qualified and experienced in line with the Standards (Clause 1.13-1.25) and the RTO's *Skilled Trainers and Assessors Policy and Procedures,* who are able to deliver the Courses on the RTO's scope to the number of students enrolled with the RTO.
- Identifying the support that each individual student needs prior to their commencement or enrolment with the RTO (whichever is first) and providing access to the educational and support services necessary to meet these needs and Course outcomes.

2. Training and Assessment Strategies and industry consultation

- In line with EIC's Course Development and Review Policy and Procedure:
 - EIC engages effectively with industry on each of the Courses it develops and/or delivers and uses industry feedback and input to contribute to the way in which a Course is delivered and structured.
 - A comprehensive *Training and Assessment Strategy* is developed and implemented for each
 Course on EIC's Scope of Registration. Training and Assessment Strategies are developed in
 consultation with industry and meet the requirements of the training package or VET Accredited
 Course.
 - Training and Assessment Strategies are reviewed annually to ensure they remain current and reflect the current needs of industry.
 - Training and Assessment Strategies are designed to be detailed so as to ensure that they can be used as the roadmap to the delivery of each course, in conjunction with EIC's policies and procedures.
 - Trainers and assessors are provided with the *Training and Assessment Strategy* for each Course they train and/or assess to ensure consistency and compliance with the requirements

3. Suitable and sufficient resources

EIC ensures it has access to suitable resources, facilities, and equipment to deliver all Courses on its
Scope of Registration. This includes access to a sufficient number of qualified trainers and assessors,
relevant training rooms, learning aids, machinery, tools, workplaces or simulated workplace
environments that appropriately reflect a workplace that a student is likely to work in once qualified.
For further information refer to the Course Development and Review Policy and Procedures.

4. Assessment principles

- EIC has an assessment system that ensures assessment:
 - Is conducted in accordance with the Rules of Evidence and the Principles of Assessment.
 - Is conducted in line with the requirements of the relevant Training Package or VET Accredited Course.
 - Requires the student to demonstrate all of the skills and knowledge outlined in the components of the relevant unit of competency or module.

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- Requires the student to demonstrate the ability to perform tasks in a variety of situations, adapt to different contexts and environments and perform tasks to an appropriate level expected by a workplace.
- Considers' the students' dimensions of competency when making all assessment decisions.
- To ensure no students are disadvantaged, where required assessors will make Reasonable
 Adjustments to assessment tasks or processes to accommodate individual needs and record these
 adjustments.
- EIC has a plan for, and implements, systematic validation of assessment practices and judgments. Refer to the *Assessment Validation Policy & Procedure* for further information.

5. Assessment documentation

- Assessment documentation has been developed for all units of competency or modules in each Course. These documents include:
 - Detailed instructions to the student about the tasks they must complete
 - Benchmark answers and decision-making rules for the assessor
 - Recording tools for the assessor
 - Mapping documents showing how the assessment tasks relate to the requirements of the unit of competency or module.

6. Submission, feedback, and re-assessment

- Students must submit each task through the Learning Management system within timelines specified in the assessment instructions.
- Written and theoretical tasks will be assessed within 4 weeks of submission. Each task will be marked
 as Satisfactory or Not Satisfactory. A unit or module will be marked as Competent once all tasks for
 the unit or module have been marked as Satisfactory.
- Students have up to three attempts per assessment task. Where a task is marked as Not Satisfactory,
 the student will be provided with feedback and be given the opportunity to resubmit/re-attempt the
 task.
- Where a student exhausts their attempts at re-assessment, the student will be required to re-enrol in the unit or module, participate in further training and undertake the whole assessment again.
- Students will receive detailed feedback for each task either in written or verbal form from their assessor.

7. Assessment appeals

• Students have the right to make an appeal against an assessment decision by following the Complaints and Appeals Policy and Procedure.

8. Recognition of Prior Learning (RPL)

- Recognition of Prior Learning is available for all Courses and all students are offered the opportunity to participate in RPL upon enrolment.
- A streamlined RPL process has been developed which requires the student to make a self-assessment
 of their skills, participate in an interview with an assessor, provide documentary evidence and
 demonstrate practical skills where relevant.

9. Student plagiarism, cheating and collusion

• Students are expected to complete all assessments ethically: without plagiarism, collusion, or cheating. Any students suspected of unethical behavior will be managed through the disciplinary procedures which may require the student to attend disciplinary meetings, submit their assessment again, or for repeated acts may be asked to withdraw from the course.

10. Record keeping

• EIC will comply with the requirements of ASQA's General Direction: *Retention requirements for completed assessment requirements* available at

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https://www.asqa.gov.au/sites/g/files/net2166/f/GENERAL DIRECTION Retention requirements for completed student assessment items.pdf. This means that assessments and all corresponding evidence will be kept on file for at least 6 months after the assessment decision has been made.

11. Feedback and improvements

• EIC collects feedback about its training and assessment practices and systems from students, trainers/assessors, and industry. Feedback will be collected regularly, collated and analysed to bring about effective improvements. Refer to the *Quality Assurance Policy & Procedures* for further details.

Procedures

1. Class preparation and delivery

Refer:

SRTOs: Clause 1.3 and 1.7National Code: Standard 8

Pro	ocedure	Responsibility
	Session plans and supporting materials Sessions are to be delivered using the approved session plan for the topic/ unit / module. Session plans are a summary of the content and activities to be covered in each session and refer the trainer to relevant parts of learning and assessment materials to be covered. They will often be supported by other resources such as PowerPoints, handouts, textbooks etc. Supporting materials will be outlined on the plan. Session plans ensure that what should be covered in a session is covered. Trainers can adjust session content to suit the needs of the group where	Responsibility Trainer/Assessor
•	required. Trainers should provide feedback for improvement to session content and materials.	
B. • • • • • • • • • • • • • • • • • • •	All sessions are to be delivered according to the approved session plans. The trainer should set up the classroom to suit the requirements of the session. All students should sign the Attendance Roll. The trainer should ensure all details on the roll are correct and all students have signed, and then sign it at the bottom. Trainers should collect session feedback as required according to the Quality Assurance Procedures on Feedback and Surveys. Students may require individual support during, before and after classes. This should be documented accordingly The completed attendance roll should be provided to the admin for data entry within one week.	Trainer/Assessor
C. •	Simulated workplace environments Simulated workplace environments to be used in class should be set up to accurately reflect a real working environment as closely as possible. Information on how this is to be set up should be outlined in the TAS. Simulated environments should be used during training so that students can practice skills using appropriate facilities and equipment that might normally be used in a workplace. Assessments may occur in a simulated environment where outlined in the	Trainer/Assessor

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assessment materials and where allowed and suitable by the Training Package	
or VET Accredited Course.	

2. Student support

Refer:

SRTOs: Clause 1.7 of Standard 1National Code 2018: Standard 6

Pro	ocedure	Responsibility
A.	Assessing individual needs	CEO and trainers
•	Enrolment Forms are to be reviewed to identify if the student has indicated	
	they require any additional support on the form.	
•	Individual needs may also be identified verbally during initial enquiry, entry	
	/pre-training interviews or other.	
•	Where individual support needs have been identified this to be referred to the CEO or Trainer.	
•	The CEO or Trainer will further discuss the needs with the student to identify	
	how the RTO can support the student. An individual support plan may be	
	developed to assist the student through the course. Or the student may be	
	referred to an external service for support before enrolment – this might be to	
	English language courses, employment support, lower level or more suitable	
	qualifications delivered by other providers.	
•	A student may not be offered a place for enrolment if the RTO is not able to	
	support the student in the course.	
B.	Language, literacy, and numeracy assessments	CEO and Trainer
•	Students may opt in to complete an LLN assessment as part of the enrolment	
	process. This will be conducted during the enrolment process and before a	
	place in the course is offered.	
•	The trainer/assessor should use the <i>LLN Marking Guide</i> to assess the test.	
•	The outcome will be used to identify the current level of LLN skills the student	
	has, and the support required for the course. An individual support plan may	
	be developed to outline the support required for the student.	
C.	Individual support plans	CEO or
•	For students that have had individual support requirements identified, an	Trainer/Assessor
	Individual Support Plan will be developed which will outline the strategies	
	used to provide the student with additional support over and above what is	
	normally offered in the course.	
•	This may include:	
	 Additional one-on-one support from the trainer/assessor. 	
	 Assigning of a mentor/coach that can provide additional support in the 	
	workplace and who works closely with the student and the	
	trainer/assessor.	
	 Adjustments to the way training resources are accessed or provided. 	
	 Adjustments to the way assessments are to be conducted or extra time 	
	for assessments.	
	 Additional online support 	

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Pro	ocedure	Responsibility
	 Linking with additional resources in the community 	
D.	Orientation	CEO/Student Support
•	Provide an orientation on the first day of the course to international students	Officer
	about adjusting to life and study. The orientation will include as a minimum	
	the following information:	
	 Support services within EIC available including services to assist students 	
	to meet course progress and attendance requirements Include details of	
	services that can be provided e.g. one to one support from trainer, study	
	groups,	
	Welfare-related support services (no cost and where the student is	
	referred to an external service, there is no cost for the referral), including	
	that these services are provided at no cost Include detail as access to a counsellor contracted by organisation, referral to external services:	
	The Resolution Institute, the national association of dispute	
	resolvers are an appropriate third party. Head Office details as follows:	
	o Email: infoaus@resolution.institute	
	o Website: https://www.resolution.institute	
	Contact details for EIC's point of contact for support	
	 Details of legal services that students may access 	
	 Information about and contact details for emergency and health services 	
	 Facilities and resources available on campus 	
	 Complaints and appeals processes; and 	
	 Information on visa conditions relating to course progress and 	
	attendance.	
•	Remind students that the information provided at orientation is included in the	
	Student prospectus.	
•	Where a student commences their course after the first day of orientation,	
_	provide the student with a one to one orientation.	0507
E.	Ongoing student support and welfare	CEO/
•	Provide ongoing support services to students as required and as per the services indicated in the orientation.	Student Support Officer
_		Officer
•	Update details of support staff if contact details change.	

3. Reasonable adjustments

Refer SRTOs: Clause 1.7 and 1.8

Pro	ocedure	Responsibility
A.	Making Reasonable Adjustments	Trainer/Assessor
•	Reasonable Adjustments may be required to training and/or assessment	
	methods for students with a disability to provide them with the same	
	educational opportunities as everyone else.	
•	Assessors can refer to this guide for further information about how and when	
	to make reasonable adjustments	
	https://www.velgtraining.com/library/files/Reasonable%20Adjustment.pdf	
•	When determining whether an adjustment is reasonable, consider the	
	information in the above-mentioned guide and refer to the Disability	
	Standards for Education 2005. https://education.gov.au/disability-standards-	
	<u>education</u>	

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	Where a reasonable adjustment is made to assessment, this should be	
	documented in the Assessment Record Tool.	

4. Conducting assessments

Refer SRTOs: Clauses 1.7 and 1.8

Pro	ocedure	Responsibility
A.	Preparing for assessment	Trainer/Assessor
•	Requirements of assessment for each unit are outlined for the student in the	
	Assessment Task Booklet and instructions are provided for assessors in the	
	relevant Marking Guide.	
•	Ensure students are advised of the assessment requirements at the start of	
	the unit/ module/ cluster and they show their agreement by signing the	
	Assessment Plan.	
•	Ensure students are advised of relevant due dates for each assessment task if	
	applicable.	
•	Reasonable Adjustments required should be recorded on the plan where	
	relevant.	
B.	Assess written work and provide feedback	Trainer/Assessor
•	Each written Assessment Task should be submitted by the student with a	
	signed and completed Assessment Task Cover Sheet. Students can use the	
	one cover sheet to submit multiple tasks.	
•	Tasks must be submitted via the Learning management system provided by	
	EIC with the student login details.	
•	Students should be advised to keep a copy of their written work as it will not	
	be returned to them and they are responsible for providing a new copy if an	
	assessment goes missing.	
•	Submitted assessment tasks should be assessed within 4 weeks of it being	
	received.	
•	Provide students with detailed written feedback on the Assessment Task, via	
	email or through wisenet.	
•	Assessors may use additional verbal questioning to fill gaps in written tasks	
	where they deem it necessary to determine competence. This will be recorded	
	in the Assessment Record Tool.	
C.	Assess practical tasks	Trainer/Assessor
•	Practical tasks are assessed during classes. Instructions for completing tasks	
	will be outlined in the relevant Assessment Tasks.	
•	A record of the observations made during the assessment should be recorded	
	in the Assessment Record Tool. A student should be given a verbal summary	
	of the feedback and asked to sign the Assessment Record Tool to confirm	
	they have received their outcome.	
D.	Recording outcomes	Trainer/Assessor
•	Record the outcome of the assessment task into the Learning Management	
	system and wisenet student management system.	
•	Each task should be given an outcome of either Satisfactory or Not	
	Satisfactory.	
•	A student will receive a Competent outcome once all the tasks for a unit have	

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received a Satisfactory outcome.	
A Not Yet Competent outcome will be recorded against a unit where either:	
 All tasks have been assessed and some or all have been marked as Not 	
Satisfactory, or	
 Only some tasks have been submitted even if they have all been marked 	
as Satisfactory.	
E. Re-submission	Trainer/Assessor
Students have up to three attempts per assessment task to achieve a	
Satisfactory outcome.	
Resubmission outcomes should follow the same process for feedback and	
recording as outlined above.	
If a student has attempted a task three times but has not achieved a	
Satisfactory outcome after the third attempt, the student must re-enroll in the	
unit or module and undertake further	

5. Recognition of Prior Learning

Refer

• SRTOs: Clause 1.12

National Code: Standard 2

Pro	Procedure	
A.	Discuss RPL and provide RPL kit	
•	Ensure all students are aware that they may apply for RPL.	Administration
•	Ensure all students are aware that they may apply for RPL. Upon receiving a student enquiry about RPL discuss prior learning that may relate to the units in the program they are enrolling in considering formal, non-formal and informal learning as described below: - formal learning refers to learning that takes place through a structured program of instruction and is linked to the attainment of an AQF qualification or statement of attainment (for example, a certificate, diploma, or university degree) - non-formal learning refers to learning that takes place through a structured program of instruction, but does not lead to the attainment of an AQF qualification or statement of attainment (for example, in house professional development programs conducted by a business), and - informal learning refers to learning that results through experience of work-related, social, family, hobby, or leisure activities (for example the	Officer
	acquisition of interpersonal skills developed through several years as a sales representative).4	
•	Based on discussions make a recommendation based on the candidates prior	
	learning, however students can make their own decision about whether to proceed.	
•	If students still want to proceed provide them with the RPL Self-assessment.	

⁴ Definition taken from the <u>User's Guide to the Standards for Registered Training Organisations (2015)</u>: http://www.asqa.gov.au/users-guide-to-the-standards-for-registered-training-organisations-2015/appendices/appendix-1/appendix-1.html

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B	. Candidate completes RPL Kit	
	-	RPL candidate
•	The candidate completes an initial self-assessment against the units/qualification	RPL Carididate
	they are seeking RPL for using the instructions included in the tool. This self-	A alma imi atusa ti a sa
	assessment process will help them decide whether RPL is a suitable pathway.	Administration
•	Ensure RPL candidate has the contact details of a trainer/assessor to consult in	Officer
	relation to their Self-assessment if needed.	
•	The RPL candidate should then return the completed self-assessment along with the	RPL candidate
	RPL Application Form (which is included in the Self-assessment), a copy of their CV	
	and any certified copies of relevant qualifications back to EIC.	
C	. Assessor reviews RPL Kit	Assessor
•	Review the self-assessment checklists to determine if the candidate is suitable to	
	proceed with the RPL process and record these discussions in the RPL Assessor	
	Record (under Self-Assessment Review and Discussion). This will include contacting	
	the candidate to discuss the following:	
	 Their work experience and anything of interest in their CV (for example, has 	
	the candidate worked in a different number of job roles, have they worked	
	in a number of different workplaces, or the same one, professional	
	development experiences, etc.).	
	 The items they ticked/did not tick in their self-assessments. 	
	The items listed in their evidence brainstorm (for example, will these add - The items listed in their evidence brainstorm)	
	value to their application, or will you see evidence of their work when they	
	complete the assigned tasks?).	
	 The third-party person – who is it, how long have they known the candidate 	
	in a professional capacity, etc.	
	 Decide on the candidate's suitability for RPL and: 	
	·	
	If eligible indicate those units which the candidate can proceed with RPL for in the RPL Assessor Record (Assessment Outcome Summer) and the	
	for in the RPL Assessor Record (Assessment Outcome Summary) and the	
	RPL Third Party Report.	
	If the candidate's work experience, skills and knowledge do not	
	accurately reflect the requirements of the qualification or units therein,	
	the candidate, provide the candidate with information about formal	
	training opportunities for this qualification. The candidate can then	
	decide as to whether they would like to enrol in the course.	
D	. Application fee and enrolment processed	Administration
•	If eligible provide the candidate with:	Officer
	 Written agreement 	
	 Invoice for RPL assessment including adjusted payment plan 	
	 RPL Evidence Tool 	
	 RPL Third Party Report (with units indicated by the Assessor) 	
•	Once the written agreement and application fee is received, process enrolment in	
	accordance with Processing enrolments procedure from the <i>Student Administration</i>	
	Policy & Procedures	

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E.	Initial support call	Assessor
•	Contact the candidate to discuss:	
	 How to work through the RPL Evidence Tool 	
	 Arrangements for workplace observations (where applicable) including: 	
	 Consulting with the candidate's workplace supervisor to ensure that 	
	workplace visits are scheduled appropriately	
	 Ensuring that the workplace has access to required equipment and 	
	resources	
F.	Gather Evidence of RPL	
•	The candidate completes and submits the RPL Evidence Tool and RPL Third Party	Candidate &
	Report if relevant (can seek support and guidance from Assessor as required).	Assessor
•	Gather other evidence as required which may require:	
	 visiting the candidate's workplace to observe completion of practical tasks 	
	(where applicable)	Assessor
	 completing verbal questioning (either over the phone, Skype or other video 	
	conference tool, or in person).	
G.	Conduct assessment of each unit of competency	Assessor
•	Review the information supplied by the student each unit of competency using the	
	submitted RPL Evidence Tool and ensure the RPL Third Party Report and record	
	outcomes in the RPL Assessor Record.	
•	Where necessary:	
	 Contact the contact the third-party person to discuss anything that requires 	
	further clarification.	
	 Contact the candidate's professional referees to discuss the candidate's 	
	workplace competency (where required).	
	 Ensure outcomes are summarised the Assessment Outcome Summary in the 	
	RPL Assessor Record.	
•	Make gap training arrangements if required.	
•	On completion of assessment, the following items must be returned to the	
	candidate's file for archiving:	
	 RPL Assessor Record 	
	 RPL Self-assessment 	
	 RPL Evidence Tool and all evidence submitted 	
	 RPL Third Party Report. 	
•	Issue the candidate with a qualification or statement of attainment where	
	competencies have been achieved.	
H.	Gather feedback	Assessor
•	Feedback is collected from each RPL candidate using the RPL Candidate Feedback	
	Survey to be collected in line with the Feedback Procedures in <i>Quality Assurance</i>	
	Policy & Procedures.	

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6. Plagiarism, cheating and collusion

Refer SRTOs: Clause 1.8 National Code: Standard 8

Pro	ocedure	Responsibility
A.	Dealing with academic misconduct	Trainer/Assessor &
•	Where a trainer/assessor believes there to be an incident of academic	CEO
	misconduct involving plagiarism, cheating, and/or collusion, they should	
	report this to the CEO along with reasons for allegation. Reasons may include:	
	 Similarity between student responses 	
	 Use of un-referenced source materials 	
	 Copying of other students work 	
	 Copy of material from the internet or textbooks 	
•	The CEO and Trainer/Assessor will then address this with the student by	
	asking them to respond to the allegation and provide an explanation.	
•	The CEO and Trainer/Assessor will then decide about the steps to be taken.	
	This may include:	
	 Requiring the student to resubmit the assessment 	
	 Using an alternative form of assessment to determine the student's 	
	understanding	
•	Where a student has repeated serious allegations of academic misconduct,	
	they may be given special or altered conditions for their assessment task or in	
	serious cases they may be asked to withdraw from the course.	

7. Breaches of the Student Code of Conduct

Procedure		Responsibility
A.	Dealing with non-academic/general misconduct	Trainer/Assessor &
•	Where a staff member is either informed of or witnesses a student in breach	CEO
	of the Student Code of Conduct raise the concern with the student directly (if	
	appropriate) or ask the Trainer/Assessor or CEO to raise the concern with the student.	
•	Where a student is unable or unwilling to redress the problem, invite them to attend a disciplinary action meeting with parties of their choice and any other	
	parties involved in the incident.	
•	Ensure written invitation and all records of interactions are stored in the student file.	
•	Where behavior continues or a student fails to participate in disciplinary actions, notify the CEO.	
•	The CEO may decide the student's enrolment poses an unfair, unsafe, or	
	high-risk situation and decide to withdraw the student from their course.	
•	Record details of the actions taken, and decisions made in the students file.	
•	Notify the student/s promptly in writing of all decisions and ensure they are	
	aware of their right to appeal the decision.	

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Training and Assessment Policy

8. Records of assessment

Refer SRTOs: Clause 1.8

Procedure		Responsibility
A.	Keep records of assessment	Trainer/Assessor/ &
•	Records of assessment, including all assessment tools, completed tasks and	CEO
	assessment evidence for a unit, will be kept for a period of at least six (6)	
	months from the time the judgement of competence was made.	
•	Records will be kept securely in the following way:	
	 (scanned and saved on student management system) 	